## Forbidden minors of P-representability from F. Matus formalism



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#### Content

Algebra of Minors on P-representable Matroids

Matroids and Semimatroids Duality and their Minors.

Restrictions and Contractions in Semimatroids

Minors Characterization of Classes of CI-relations.

Hereditarly Global CI relations.

Minors obtained via Restrictions-Contractions of CI-relations.

Minor characterization of graph CI-relations Separation Graphoids local CI-relations Chordal and Forest Separation Graphoids

Class of Boundary Semigraphoids d-separation Graphoids

Algebra of Minors on Probabilistically representable Matroids

## Algebra of Minors on Probabilistically representable Matroids

Applying the algebra of minors we can, since minors of probabilistically representable matroids are of the same type, translate theorems for binary, ternary and regular matroids into the probabilistic language

## Minors of Localizable CI relations ( based on planar Graphs Kuratowski Th and Tutte's characterization of Graphic Matroids)

Given subsets  $L, M \subset N$ ,

The minor  $N_{\mathcal{M}}$  is the ternary relation on LConsisting of all triples (I, J, K) s.t.  $I \cup J \cup K \subset L$ And  $(I, J, K \cup M) \in \mathcal{M}$ If  $M = \emptyset$   $N_{\mathcal{M}}$  is called Restriction

If M + N = L  $N_{\mathcal{M}}$  is called a Contraction of  $\mathcal{M}$ 

# Minors of Localizable Cl relations ( based on planar Graphs Kuratowski Th and Tutte's characterization of Graphic Matroids) Examples:

```
Having a CI \mathcal{M} = \{ (\{1\}, \{2\}, \{4\}) , (\{2\}, \{3\}, \{4\}) , (\{1\}, \{3\}, \{\emptyset\}) \} \}

On N = \{1,2,3,4\}

Given subsets L, M \subset N,

The relation N''_{\mathcal{M}} = \{ (\{1\}, \{3\}, \{0\}) \} on L = \{1,2,3\}

Is a restriction of \mathcal{M} (M = \emptyset)

The relation N'''_{\mathcal{M}} = \{ (\{1\}, \{2\}, \{0\}) , (\{2\}, \{3\}, \{0\}) \} on L = \{1,2,3\}

Is a contraction of \mathcal{M} (M = \{4\})

Is a contraction of \mathcal{M} (M = \{4\}) neither a restriction

Nor a contraction of \mathcal{M}
```

#### Minors of p-representable matroids.

#### Minors via Restrictions.

Let  $M \subset \mathcal{I}(N)$  be a global ternary relation and  $L \subset N$ .

$$\mathcal{G}(N)$$
: all  $(IJ \mid K)$ , s. t.  $I, J, K \subset N$ ,  $I \cap J \cap K = \emptyset$ 

The restriction of M to L is given by  $re_{L} M = M \cap \mathcal{J}(L) = \{(I, J | K) \in M : I \cup J \cup K \subset L\}$ 

#### Minors of p-representable matroids.

#### Minors via Contractions.

contraction to L is  $co_L \mathcal{M} = \{(I,J|K) \in \mathcal{G}(L); \ (I,J|K(N-L)) \in \mathcal{M}\}$   $(I,J|K(N-L)) = (I,J|[K \cup (N-L)])$ if L and M are disjoint subsets of N,
the relation  $co_L \operatorname{re}_{LM} \mathcal{M}$  on Lis called a minor of  $\mathcal{M}$ .
The above minor can be equivalently given by

$$\operatorname{re}_{L} \operatorname{co}_{N-M} \widetilde{\mathcal{M}} = \{(I,J|K) \in \mathcal{J}(L); (I,J|KM) \in \widetilde{\mathcal{M}}\}$$

$$(I,J|KM) = (I,J|[K \cup M])$$

#### Minors of p-representable matroids.

#### Minors via Sequences of Restrictions/Contractions.

whence minors of a ternary relation  $\mathcal{M}$  on N are ternary relations on subsets of N constructed from  $\mathcal{M}$  by any sequence of restrictions and contractions. It can be frequently

All minors can be constructed by performing restrictions and contractions by one-element sets.

We speak of *proper* minors if  $L \neq N$  and of n-minors, n-graphoids, etc. if they are relations on a set of n elements

#### Set of Loops of a rank function.

```
An element i \in N
      is called a loop of a rank function
                   r when r(i) = 0.
                          That is,
i \in N is a loop of a Matroid \mathcal{I} if (i|\emptyset) \in \mathcal{I}
                           Then
                The loopless relation
                \mathcal{I} \subset \mathcal{I}(L) is a matroid
 r_T = \text{Max}\{|J|, J \subset I, \mathcal{R}(J) \cap \mathcal{I} = \emptyset\} \ I \subset N
                   Is semimodular
                             and
                           \mathcal{I}=[r_{\mathcal{I}}]
```

#### Set of Loops of a rank function.

For a matroid  $\mathcal{I}$  with a set of loops  $\lambda(\mathcal{I})$   $r_{\mathcal{I}}(I) = r^{\mathcal{I}}(I) + |I \cap \lambda(\mathcal{I})|, I \subset N$   $r^{\mathcal{I}}$  is the rank function of  $\mathcal{I}$  is the rank function of a matroid obtained from  $\mathcal{I}$ . By the conversion of all its Loops into isthmuses.

$$i \in N$$
 is an isthmus or a coloop  
If  $r(i) = 1$ ,  $and \ r(N) = r(i) + r(N - i)$ .

#### **Restrictions and Contractions of Minors.**

#### **LEMMA**

Minors of p-representable semimatroids are p-representable. Minors of strongly p-representable matroids are strongly p-representable with larger p-characteristic sets.

## Minors of p-representable matroids.

#### **LEMMA**

#### Proof:

Let  $\xi = (\xi_i)_{i \in N}$  be the p-representation of a semimatroid  $\mathcal{I}$ , as  $\operatorname{re}_I(\mathcal{I})$ ,  $I \subset N$ , and  $\operatorname{co}_I(\mathcal{I})$ ,  $N - I \subset \lambda(N)$  are representable by  $\xi_{I_i}$ . We only need to show that Contractions of  $\mathcal{I}$  by nonloops are p-representable For  $i \in N - \lambda(\mathcal{I})$ ,  $k \in N - i$ , Construct  $\forall xi$  value of  $\xi_i$ , new r.v.

## Minors of p-representable matroids.

#### **LEMMA**

#### Proof:

$$\begin{aligned} \xi_k^{(x)} &= (\xi_k^{(\mathcal{X}_i)})_{k \in K}, \text{ by restricting } \xi_k, \text{ to event } \xi_i = x_i \text{ , i.e.,} \\ &\text{Pr}(\xi_k^{(\mathcal{X}_i)} &= y_k) = \frac{(x_i y_k)}{(x_i)}, y_k \in X_k \text{ ,} \end{aligned}$$

all  $\xi_k^{(\mathcal{X}_i)}$ ,  $x_i \in X_i$ , are mutually independent.

#### **LEMMA**

## Minors of p-representable matroids.

Proof:

 $\operatorname{co}_k(\mathcal{I})$ , of semimatroid  $\mathcal{I}=[h_\xi]$  is determined by

$$\begin{split} g(J) &= h_{\xi(ij)} - h_{\xi(i)} \\ &= -\sum_{x_{ij} \in XiJ} (x_{iJ}) \log(x_{iJ}) + \sum_{x_i \in Xi} (x_i) \log(x_i) \\ &= \sum_{x_{ij} \in XiJ} (x_{iJ}) \log(\frac{x_{iJ}}{x_i}), J \subset K, \end{split}$$

g(J): conditional entropy of  $\xi_i$  given  $\xi_i$ 

## Restrictions and Contractions of Minors.

#### **LEMMA**

Proof:

$$g(J) = -\sum_{x_i \in Xi} (x_i) \sum_{y_j \in Xj} \frac{(x_i y_i)}{(x_i)} \log \frac{(x_i y_i)}{(x_i)} + \sum_{x_i \in Xi} (x_i) h_{\xi_k}(x_i)(J),$$

$$J \subset K,$$

$$g(J) \text{is a convex combination of}$$

$$\text{funtions } h_{\xi_k}(x_i), x_i \in X_i,$$

$$\text{This implies}$$

$$\text{co}_k(\mathcal{I}) = [g] = \bigcap_{x_i \in X_i} [h_{\xi_k}(x_i)]$$

$$\text{And Thus,}$$

$$\eta = (\eta_k)_{k \in K}, \eta_k = (\xi_k^{(X_i)})_{x_i \in X_i}$$
Is a  $p$ -representation of  $\text{co}_k(\mathcal{I})$ .

## Restrictions and Contractions of Minors.

#### **LEMMA**

#### Proof:

If  $\xi$  is a strong p -representation Of matroid  $\mathcal I$  of degree u Then all the systems  $\xi_k^{(x_i)}$  has the same entropy function Matching with g They all are strong p-representation of matroid  $\operatorname{co}_k(\mathcal I)$ . With degrees u

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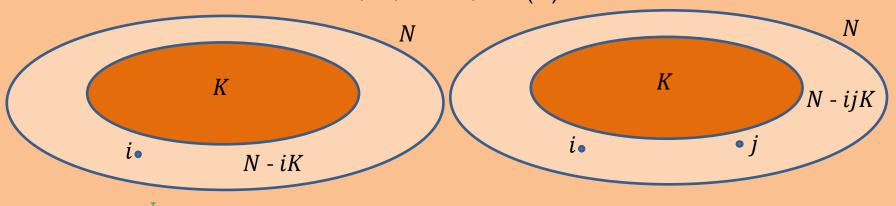
# Forbidden minors of P-representability **Matroids and Semimatroids Duality** and their Minors.

#### **Matroid Duality and Minors.**

To any matroid  $\mathcal{I} \subset \mathfrak{I}(N)$  we denote

$$\mathcal{I}^{\mathsf{T}} = \{(i \mid K) \in \mathcal{G}(N) ; (i \mid N - i \mid K) \notin \mathcal{I}\} \cup \{(ij \mid K) \in \mathcal{J}_{\mathcal{K}}(N) ; (ij \mid N - ij \mid K) \in \mathcal{I}\}$$

if r is the rank function of  $\mathcal{I}$  then  $\mathcal{I}^{\mathsf{T}}$  is the matroid with the rank function given by  $r^{\mathsf{T}}(I) = |I| + r(N-I) - r(N), I \subset N$ .  $\mathcal{I} = \mathcal{I}^{\mathsf{T}} \quad \forall \, \mathcal{I} \subset \mathcal{S}(N)$ 



$$\mathcal{I}^{\mathsf{T}} = \{i \mid K : (i \mid N - i \cup \mathsf{K}) \notin \mathcal{I}\} \quad \cup \quad \{ij \mid K : ij \mid N - (i \cup j \cup K) \in \mathcal{I}\}$$

#### Semi-Matroid Duality.

if 
$$\mathcal{I} = [h], h \in H(N)$$
, is a semimatroid  $[h] : \{(ij \mid K) \in \mathcal{S}(N); \Delta h(i,j \mid K) = 0\}$  then  $\mathcal{I}^{-1} \cap \mathcal{K}(N);$  is also a semimatroid, e.g. it descends from the function 
$$h^{\top} + \sum_{i \in N} g_i \quad \text{where}$$
 
$$h^{\top}(I) = \sum_{i \in I} h(i) + h(N-I) - h(N), I \subset N.$$

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## Restrictions and Contractions in Semimatroids

#### Minors of localizable relations

Minors are defined through restrictions and contractions and discussed the classes of semigraphoids, pseudographoids and graphoids from this point of view.

#### **Restrictions and Contractions and Minors.**

The restrictions and contractions of semimatroids are semimatroids. A relation  $\mathcal{K}$  on  $I \subset N$  is called **a minor** of a relation  $\mathcal{L}$  on N if  $\exists$  a set J s.t.  $I \subset J \subset N$  and  $\mathcal{K} = \operatorname{re}_I(\operatorname{co}_J(\mathcal{I}))$ . The order of the operations is not substantial and thus minors of minors are minors.

#### The Duality in between restrictions and contractions.

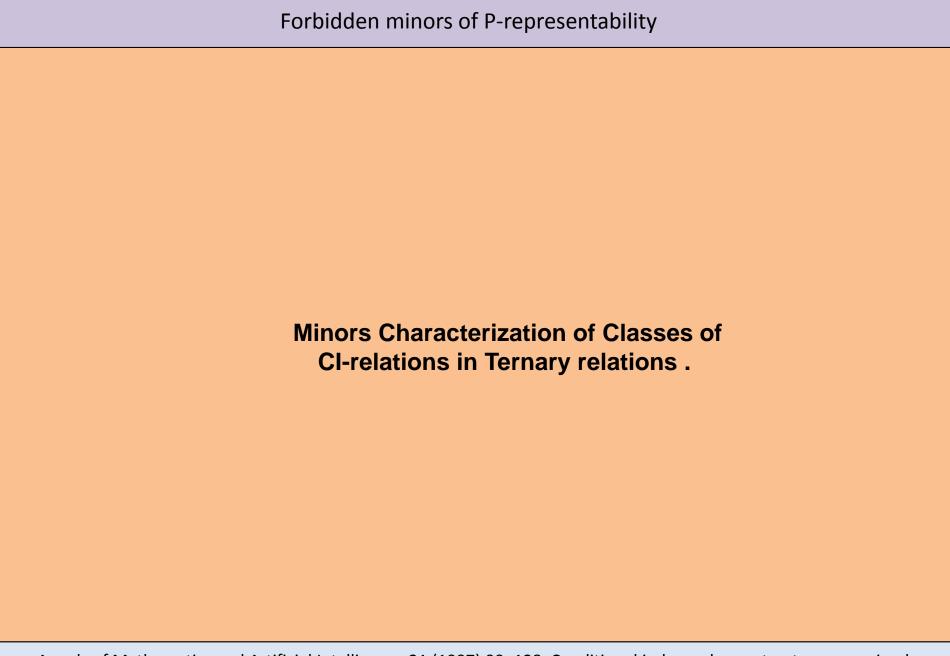
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If \mathcal{L} is a relation on N and I \subset N then we shall denote by \operatorname{Re}_I(\mathcal{L}) = \mathcal{L} \cap \mathcal{S}(I) its restriction to I and by \operatorname{co}_I(\mathcal{L}) = \{(ij \mid K) \in \mathcal{S}(I); (ij \mid K(N-I)) \in \mathcal{L}\} its contraction by N-I (both considered for relations on I). Immediately, for I \subset J \subset N we establish re_I = re_I re_J, co_I = co_J co_I and re_I co_J = co_I re_I r_{I(N-J)}. These two operations are dual in the above sense (re_I(\mathcal{L}^{\mathsf{T}}))^{\mathsf{T}} = \operatorname{co}_I(\mathcal{L}), I \subset N, :: \mathcal{L} \subset \mathcal{S}(N).
```

#### **Restrictions and Contractions of Matroids.**

The **restriction** to I of a matroid with the rank function r is the (sub)matroid with rank function  $r|_{\mathscr{T}(I)}$  and its **contraction** by N-I is the matroid on I with the rank function having the values  $r(J(N-I))-r(N-I), J\subset I$ . The restrictions and contractions of semimatroids are semimatroids.

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#### **CI-relations as Ternary relations.**

CI-relations are ternary relations  $\mathcal{M}$  consisting of triples (I,J,K) of subsets I,J and K of a finite set N.

CI-relations are studied here from mathematical logic influenced by the integrity constraint theory of databases.

#### **CI-relations as Graphs and Matroids.**

CI-relations are, however, algebraical structures rich enough to contain graphs and matroids. we are going to study localizable CI-relations through the notion of *minor* familiar from the graph and matroid theories.

#### Why use Minors to Analyze CI-relations?.

Concept: Minors of CI-relations are their natural subconfigurations.

Goal: recognize the relations of the class only through their, possibly small, subconfigurations that are characteristic for and inherent to the class, or equivalently, through their small defects or obstructions – forbidden subconfigurations of the class.

Use:

Minors should henceforth provide a complementary tool to the axiomatic approach.

#### Minor defined by Matroid Theory.

Given disjoint subsets L and M of N, the corresponding minor of a ternary relation  $\mathcal{M}$  on N is the ternary relation on L consisting of all triples (I,J,K) such that  $I\cup J\cup K\subset L$  and  $(I,J,K\cup M)\in\mathcal{M}$ . If  $M=\emptyset$  the minor is called a restriction of  $\mathcal{M}$  and if M+L=N a contraction of  $\mathcal{M}$ .

#### Minors of CI-relations.

Ex: having the relation  $\mathcal{M} = \{(\{1\}, \{2\}, \{4\}), (\{2\}, \{3\}, \{4\}), (\{1\}, \{3\}, \{\emptyset\})\}\}$  on  $N = \{1, 2, 3, 4\}$ , the relation  $\{(\{1\}, \{3\}, \{\emptyset\})\}$  on  $L = \{1, 2, 3\}$  is a minor of  $\mathcal{M}$  (even a restriction of  $\mathcal{M}$ , w.r.t  $M = \emptyset$ ), the relation  $\{(\{1\}, \{2\}, \{\emptyset\}), (\{2\}, \{3\}, \{\emptyset\})\}$  on  $L = \{1, 2, 3\}$  is a minor of  $\mathcal{M}$  (even a contraction of  $\mathcal{M}$ , w.r.t  $M = \{4\}$ ) and the relation  $\{(\{1\}, \{2\}, \{\emptyset\})\}$  on  $L' = \{1, 2\}$  is a minor of  $\mathcal{M}$ , w.r.t  $M = \{4\}$ , that is neither a restriction nor contraction

of  $\mathcal{M}$ .

contraction of  $\mathcal{M}$ ,  $\mathcal{M}$  restriction of  $\mathcal{M}$   $\mathcal{M}$  minor of  $\mathcal{M}$ 

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#### **Hereditary Global CI relations.**

Minors obtained via Restrictions-Contractions of CI-relations.

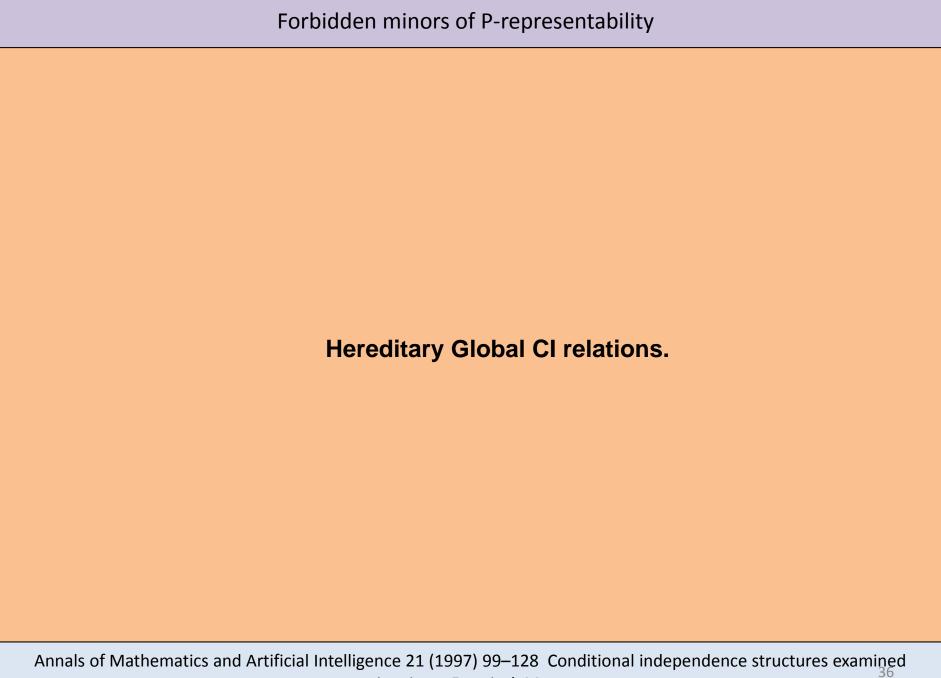
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#### Localizible Global CI relation.

Def.
Let  $\mathcal{M} \subset \mathcal{I}(N)$ ,
We are interested only in the relations  $\mathcal{M}$  s.t.  $(I,J|\ K\in\mathcal{M}) \Leftrightarrow \{(\forall\ j\in J)\ (\forall\ i\in I)(\forall\ L\supset K)\ L\subset IJK-ij\Rightarrow i\ ,j|\ L\in\mathcal{M})\}$ That we call localizable.

$$\mathcal{J}(N)$$
 are all the  $(IJ \mid K)$ , where  $I, J, K \subset N$ ,  $s. t. I \cap J \cap K = \emptyset$ 

Localizing Operator
And
Global CI relations
That are reconstructible.

Let 
$$\mathcal{M} \subset \mathcal{J}(N)$$
 and  $\mathcal{I} \subset \mathcal{J}(N)$ 

We can reconstruct any localizable global relation  $\mathcal{M}$  from  $\operatorname{Loc}(\mathcal{M}) = \mathcal{M} \cap \mathcal{R}(N) = \mathcal{I}$ , Using the formula  $\mathcal{M} = \operatorname{gl} \mathcal{I} = \{I,J \mid K \subset \mathcal{I}(N); (I,J \mid K)_* \subset \mathcal{I}$ 

$$(I,J|K)_* = \{i,j|L \in \mathcal{R}(N), j \in J, i \in I; K \subset L \subset IJK-ij\}$$

#### Global CI relations that are Localizible.

The global relation 
$$\mathcal{M}$$
 is localizable iff 
$$gl(\operatorname{loc}(\mathcal{M})) = \mathcal{M}$$

$$(\forall i \in I - K)(\forall j \in J - K)(\forall L \subset N) (K \subset L \subset IJK - ij \Longrightarrow i, j | L \subset \mathcal{I})\}$$

# **Hereditarly Global CI relations.**

```
The global relation \mathcal{M} is localizable iff gl(\operatorname{loc}(\mathcal{M})) = \mathcal{M}

Every \mathcal{M} contains the triplets I, J \mid L with empty I or J Satisfying I, JK \mid L \in \mathcal{M} \Longrightarrow [(I, J \mid KL) \in \mathcal{M} \text{ and } (I, K \mid L) \in \mathcal{M}]

These global relations are called Hereditary.
```

# Semigraphoids.

Are Hereditary global relations that satisfy also The converse implication  $[(I, J \mid KL) \in \mathcal{M} \text{ and } (I, K \mid L) \in \mathcal{M}]$ 

 $(I,JK|L) \in \mathcal{M}$ 

That is known as the Semigraphoid Axiom.

# Pseudographoids.

Are Hereditary global relations that satisfy also The converse implication  $[(I, J \mid KL) \in \mathcal{M} \text{ and } (I, K \mid JL) \in \mathcal{M}]$ 

 $(I, JK | L) \in \mathcal{M}$ 

That is known as the Pseudographoid Axiom.

# Graphoids.

Are Hereditary global relations that are Semigraphoids and At the same time Pseudographoids

#### Lemma.

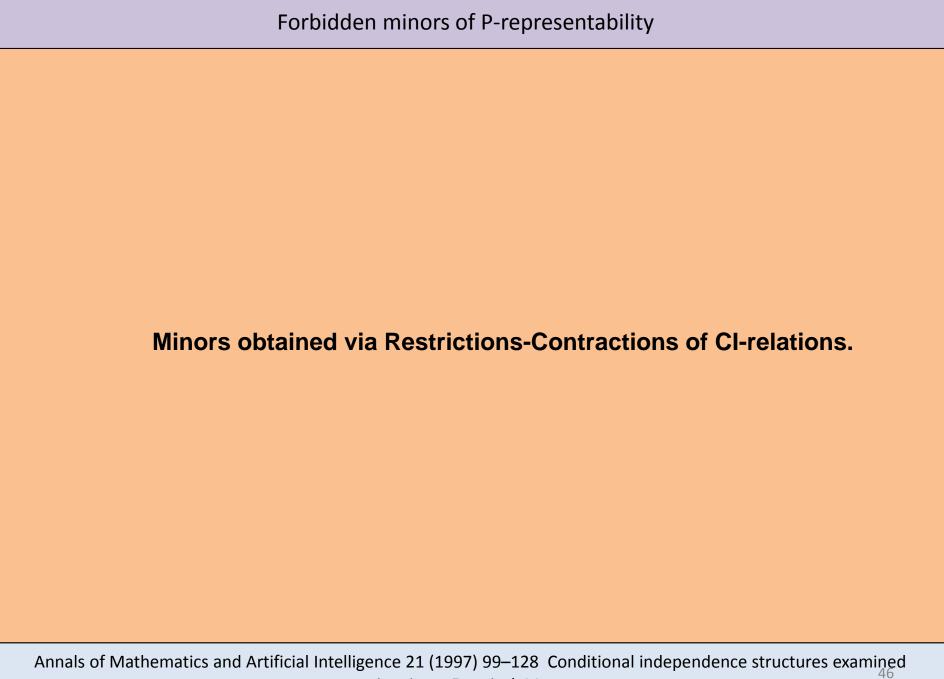
Semigraphoids, pseudographoids and graphoids are localizable

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# Geometric Representation of CI relations. (Rules)

- 1) Circles are connected by a kind of line, labeled by lists of subsets.
- 2) Dotted lines: If i, j triples  $(i, j | K) \in \mathcal{I}$  whenever  $K \subset N ij$ .
- 3) Full lines: If  $\forall K \subset N ij$  triple  $(i, j | K) \notin \mathcal{I}$
- 4) If No, decide freely the kind of line to use.
- 5) Dotted line from i to  $j \exists$  list of K s.t.  $(i, j | K) \in \mathcal{I}$  attached to it.
- 6) Full line, means the complement of this list must be provided.
- 7) The symbol \* abbreviates set N ij on line between i and j.
- 8) Dotted and full lines match 'conditional independences and dependences' of  $\mathcal{I}$ .
- 9) Trivially  $\mathcal{I} = \emptyset$  is a set of empty circles every 2 connected by a full line,
- 10)  $\mathcal{L} = \mathcal{R}(N)$  ( $\mathcal{M} = \mathcal{C}(N)$ ) similarly, replacing all full lines by the dotted ones.

# Example.

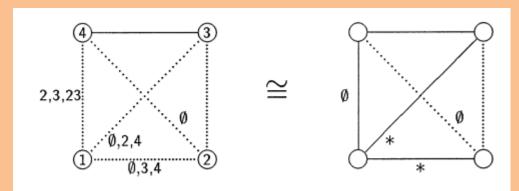


Figure 1. An example of visualization of a local(izable) relation.

Let  $N = \{1, 2, 3, 4\}$  and assume that a local relation  $\mathcal{I}$  consists of the triples  $(1, 2|\emptyset)$ , (1, 2|3), (1, 2|4),  $(1, 3|\emptyset)$ , (1, 3|2), (1, 3|4), (1, 4|2), (1, 4|3), (1, 4|23),  $(2, 3|\emptyset)$ , (2, 3|1), (2, 3|4), (2, 3|14),  $(2, 4|\emptyset)$ .

#### **Restrictions and Contractions of CI-relations.**

Let  $\mathcal{M} \subset \mathcal{F}(N)$  be a global ternary relation and  $L \subset N$ . The restriction of  $\mathcal{M}$  to L is given by  $\operatorname{re}_L \mathcal{M} = \mathcal{M} \cap \mathcal{F}(L) = \{ (I,J|K) \in \mathcal{M}; I \cup J \cup K \subset L \}$  and its contraction to L is  $\operatorname{co}_L \mathcal{M} = \{ (I,J|K) \in \mathcal{F}(L); (I,J|K(N-L)) \in \mathcal{M} \}.$  Where L and M are disjoint subsets of N, the relation  $\operatorname{co}_L \operatorname{re}_{LM} \mathcal{M}$  on L is called a minor of M. One observes immediately that the above minor can be equivalently given by  $\operatorname{re}_L \operatorname{co}_{N-M} \mathcal{M} = \{ (I,J|K) \in \mathcal{F}(L); (I,J|KM) \in \mathcal{M} \},$ 

$$\mathcal{J}(N)$$
 are all the  $(IJ \mid K)$ , where  $I, J, K \subset N$ ,  $s. t. I \cap J \cap K = \emptyset$ 

# Minors are Obtained from Contractions And Restrictions.

Minors of a ternary relation  $\mathcal{M}$  on N are ternary relations on subsets of N constructed from  $\mathcal{M}$  by any sequence of restrictions and contractions.

Ex: 'contraction (restriction) by N-L'.

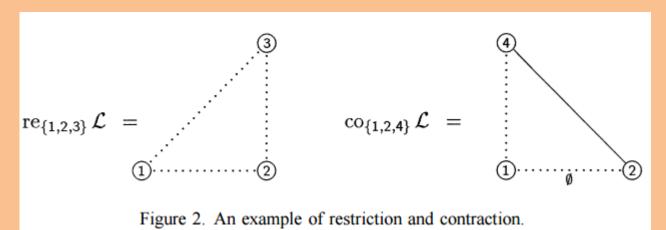
All minors can be constructed by performing restrictions and contractions by one-element sets.

We speak of proper minors if  $L \neq N$  and of n-minors, n-graphoids, etc. if they are relations on a set of n elements.

# Minors Representation.

# Example.

Ex: Let  $\mathcal{I}$  be the local 4-relation from Previous Example. Diagrams of two minors of  $\mathcal{I}$  are visualized on figure 2



# **Hereditary in Minors of CI-relations.**

#### Lemma.

If a relation  $\mathcal{M}$  is hereditary (localizable) then all its minors are hereditary (localizable). Minors of semigraphoids, pseudographoids and graphoids are semigraphoids, pseudographoids and graphoids, respectively.

#### Minor Closed Classes and Forbidden Minors of Classes.

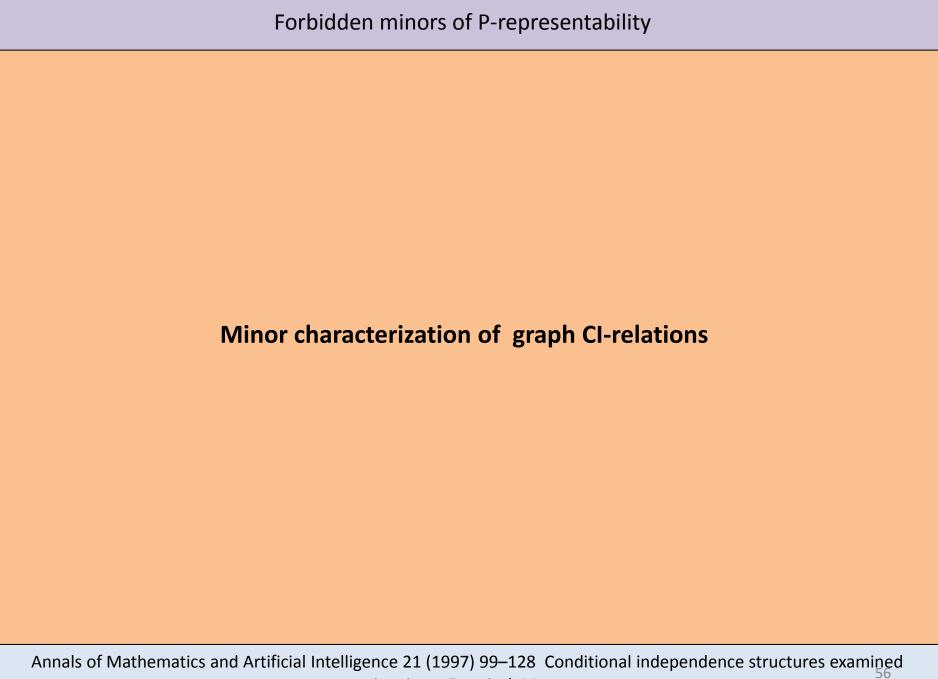
# **Compulsory Minors of Classes.**

If a minor-closed class of CI-relations has a forbidden n-minor for a fixed number n then the n-relations of this class are called the compulsory n-minors. For different values of n compulsory or forbidden minors will be examined according to what is more economical.

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# Proposition.

A ternary localizable relation MCG(N) is a graphoid (semigraphoid, pseudographoid) iff
all its 3-minors are
graphoids (semigraphoids, pseudographoids, respectively).

Ex.

The hereditary relation  $gl((12, 34|\emptyset)_*) - \{(12, 34|\emptyset)\}$  on N =  $\{1, 2, 3, 4\}$  is not localizable and all its 3-minors are graphoids. The assumption of localizability in Proposition 1 is therefore substantial

# Consequence.

A localizable relation  $M \subset \mathfrak{T}(N)$  is a graphoid iff each nontrivial 3-minor of M is in one of the six isomorphism classes of figure 3.

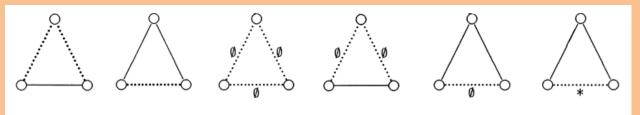


Figure 3. Nontrivial 3-graphoids: nontrivial compulsory 3-minors of graphoids.

# Consequence.

A localizable relation  $M \subset \mathfrak{T}(N)$  is a semigraphoid iff each its nontrivial 3-minor is isomorphic to a 3-semigraphoid from figure 3 or from figure 4.

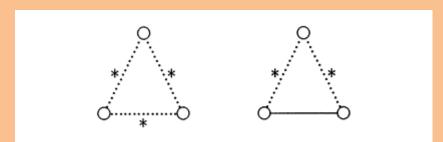
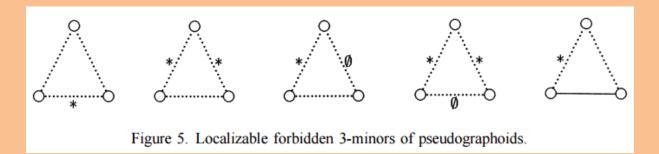


Figure 4. 3-semigraphoids that are not graphoids.

# Consequence.

A localizable relation  $M \subset \mathfrak{T}(N)$  is a pseudographoid iff it has no 3-minor isomorphic to the relations from figures 4 and 5.



# **Graphoids and Semigraphods on local CI-relations**

 $\mathcal{I} \subset \mathcal{S}(N)$  is a semigraphoid if it satisfies

$$[(i,j|kL) \in \mathcal{I} \text{ and } (i,k|L) \in \mathcal{I}] \Longrightarrow [(i,k|jL) \in \mathcal{I} \text{ and } (i,j|L) \in \mathcal{I}]$$

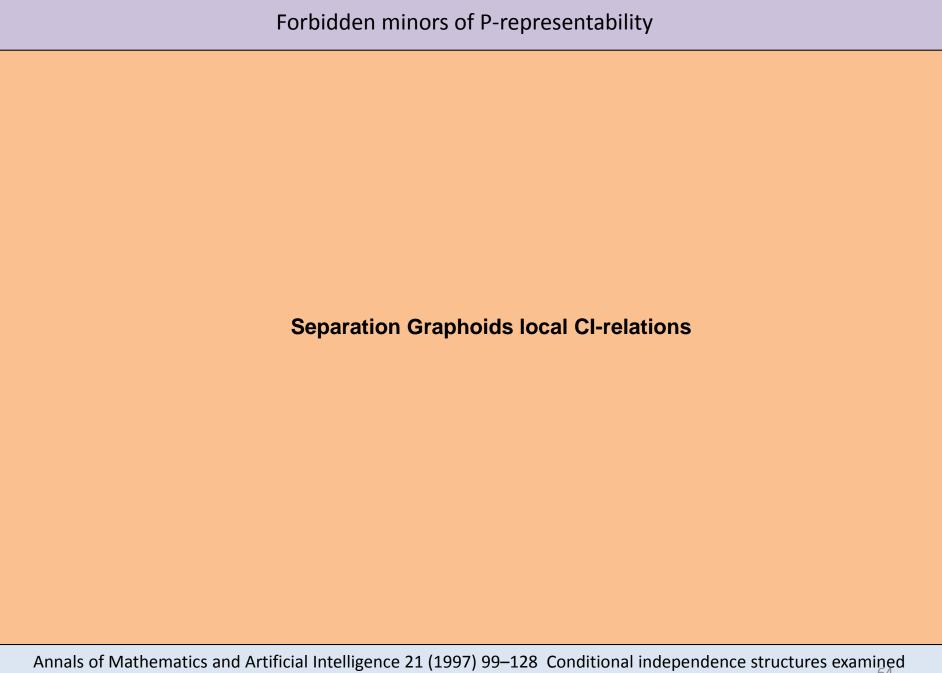
 $\mathcal{I} \subset \mathcal{K}(N)$  is a pseudographoid if it satisfies

$$[(i,j|kL) \in \mathcal{I} \text{ and } (i,k|jL) \in \mathcal{I})] \Longrightarrow [(i,j|L) \in \mathcal{I} \text{ and } (i,k|L) \in \mathcal{I}]$$

 $\mathcal{I} \subset \mathcal{R}(N)$  is a Graphoid if it obeys both implications as before.

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# **Separation Graphoids local CI-relations**

Chordal graph: all cycles of four or more vertices have a *chord*, which is an edge that is not part of the cycle but connects two vertices of the cycle.

**Tree:** undirected graph in which any 2 vertices are connected by *exactly one* path. Any acyclic connected graph is a tree.

A **forest** is a disjoint union of trees.

#### **Cutsets and**

# **Separation Graphoids of Undirected simple Graphs**

Let G be an undirected simple (no loops and multiple edges) graph vertex set N and let  $\langle G \rangle = \{(i,j|K) \in \mathfrak{K}(N); K \text{ is a cutset of } i \text{ and } j \text{ in } G\}$  K is a cutset of i and j if all paths connecting i and j intersect K.

That is, if 'K separates i and j'.

#### **Cutsets and**

# **Separation Graphoids and local CI-relations**

 $\langle G \rangle$  is a graphoid. A local relation  $\mathcal{I} \in \mathfrak{K}(N)$  is a separation graphoid if  $\mathcal{I} = \langle G \rangle$  for some graph G; graph G is then unique. If G is chordal or G is a forest it is a chordal-separation graphoid or forest-separation graphoids, respectively.

# **Contraction and Restriction Minors**of Separation Graphoids local CI-relations

#### Lemma.

Minors of (chordal-)separation graphoids are (chordal-)separation graphoids. The class of forest-separation graphoids is only contraction-closed.

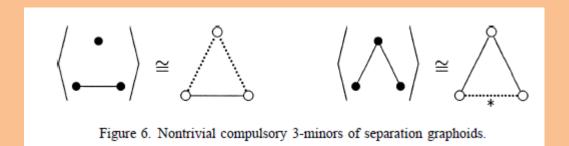
The restriction of a forest-separation graphoid  $\langle G \rangle$  by  $k \in N$  is forest-separation iff there are at most 2 edges in G adjacent with k.

#### **CI-relations over graphs**

# Separation Graphoids local CI-relations Characteristic Minors

# Proposition.

A ternary relation  $\mathcal{I}$  is a separation graphoid iff each of its nontrivial 3-minors is isomorphic to one of the two graphoids of figure 6.



# **CI-relations over graphs**

# Separation Graphoids local CI-relations And Pseudographoids

Separation graphoids are obviously pseudographoids that are

**Ascending** if

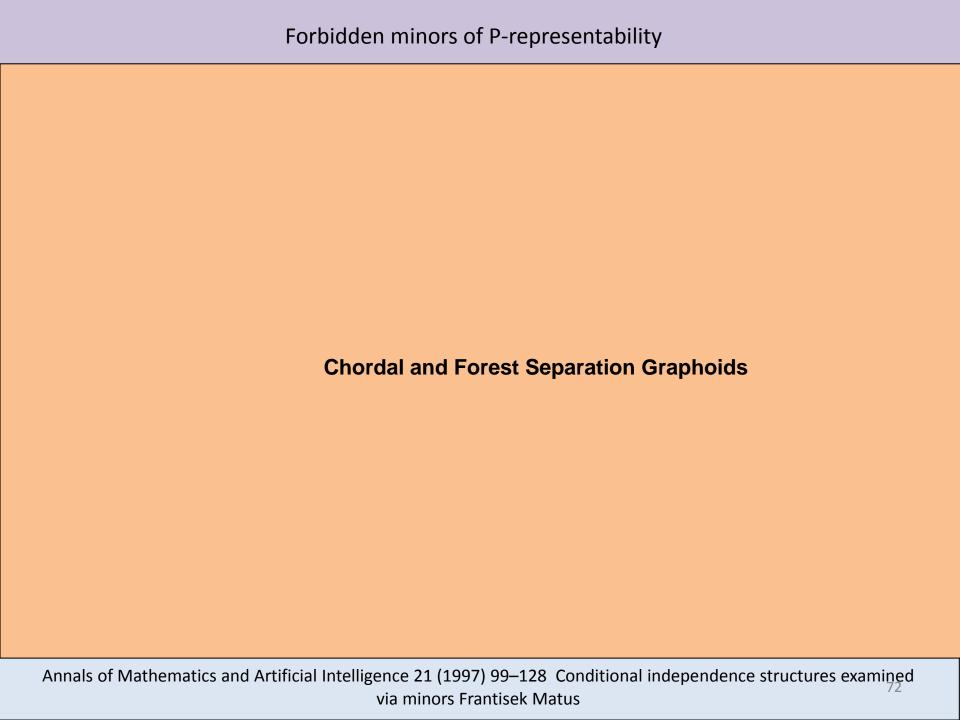
$$[(i,j|K) \in \mathcal{I}$$
and  $K \subset L \subset N - ij)] \Longrightarrow (i,j|L) \in \mathcal{I}$ and

complementary transitive if

$$[(i, j|L) \notin \mathcal{I}$$
and  $(j, k|L) \notin \mathcal{I})] \Longrightarrow (i, k|L) \notin \mathcal{I}$ :

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#### **CI-relations over graphs**

## **Minors of Chordal Separation Graphoids**

#### Consequence.

The class of chordal-separation graphoids is specified by 4 compulsory 3-minors  $\langle \ \ \ \ \rangle$ ,  $\langle \ \ \ \ \rangle$ , and  $\langle \ \ \ \ \rangle$ 

and



one forbidden 4-minor.

A separation

graphoid  $\mathcal{I} \subset \mathcal{I}(N)$  is a chordal-separation graphoid iff it obeys

 $\{(i, j|klL), (k, l|ijL)\}\subset\mathcal{I}\} \Rightarrow \{(i, k|jlL), (i, l|jkL), (j, k|ilL), (j, l|ikL)\}\cap\mathcal{I}\neq\emptyset$ ;

## **CI-relations over graphs**

# **Chordal Separation Graphoids and pseudographoids**

A relation  $\mathcal{I} \subset \mathcal{F}(N)$  is a chordal-separation graphoid iff it is complementary transitive and ascending pseudographoid that satisfies

$$\{(i,j|klL), (k,l|*)\} \subset \mathcal{I} \Rightarrow \{(i,j|kL), (i,j|lL)\} \cap \mathcal{I} \neq \emptyset;$$

#### **CI-relations over graphs**

#### **Chordal Separation Graphoids that are Forest Separation Graphoids**

# Consequence.

A chordal-separation graphoid is forest-separation iff none of its contractions is isomorphic to

$$[(i, j \mid *) \notin \mathcal{I} \text{ and } (i, k \mid *) \notin \mathcal{I}] \Rightarrow (j, k \mid *) \in \mathcal{I}$$

or axiomatically, iff it satisfies

#### **CI-relations over graphs**

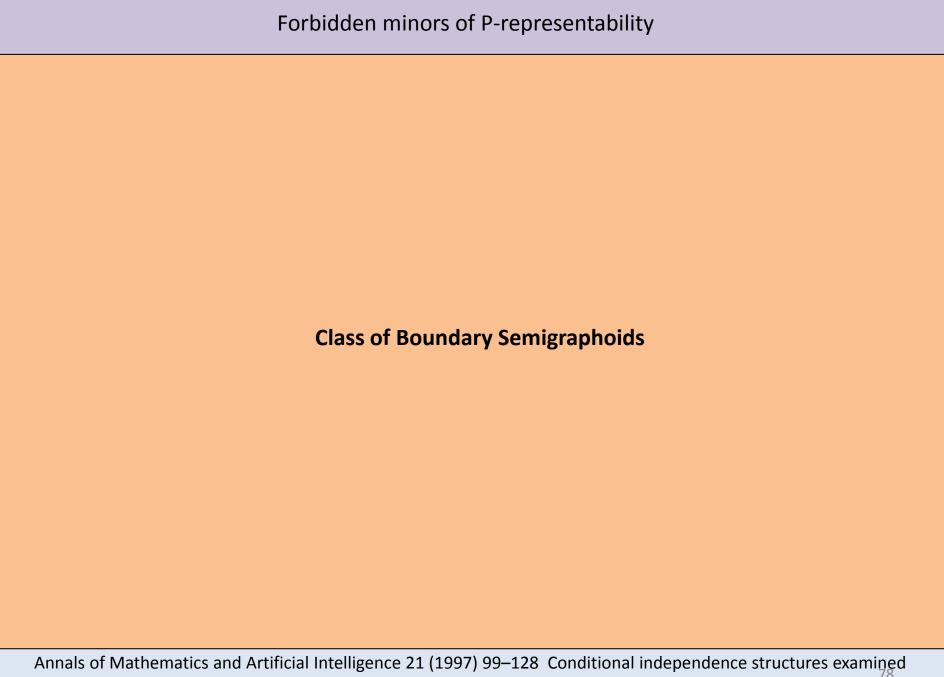
#### **Forest Separation Graphoids local CI-relations**

 $\mathcal{I} \subset \mathcal{R}(N)$  is a forest-separation graphoid iff it is a complementary transitive and ascending pseudographoid that satisfies

$$[(i,j|*) \notin \mathcal{I} \text{ and } (i,k|*) \notin \mathcal{I}] \Rightarrow (j,k|i) \in \mathcal{I}$$

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# **Class of Boundary Semigraphoids**

Having a simple undirected graph G, the construction  $\langle G \rangle_{\text{loc}} = \{ (i,j|K) \in \mathcal{R}(N); K \supset \partial G_i \text{ or } K \supset \partial G_j \}$  is an ascending semigraphoid The class of all semigraphoids of this type, called boundary semigraphoids, is not closed under restrictions. Nevertheless, the class is closed under contractions  $(co_{N-k} \langle G \rangle_{\text{loc}} = \langle G_{N-k} \rangle_{\text{loc}}, k \in N)$ .

# **Class of Boundary Semigraphoids**

#### Proposition.

A ternary relation  $\mathcal{I} \subset \mathfrak{K}(N)$  is a boundary semigraphoid iff  $\mathcal{I}$  is ascending, satisfies the pseudographoid axiom for  $\mathcal{I} = N - ijk$ , and the following 3 implications:  $(i,j|kL) \in \mathcal{I}, (i,k|*) \in \mathcal{I} \text{ and } (j,k|*) \in \mathcal{I} \Rightarrow (i,j|L) \in \mathcal{I}, (i,j|kL) \in \mathcal{I}, (i,k|*) \in \mathcal{I} \text{ and } (j,l|*) \notin \mathcal{I}, l \notin ijkL \Rightarrow (i,j|L) \in L, (i,k|*) \notin \mathcal{I}$  and  $(j,l|*) \notin \mathcal{I}, l \notin ijkL \Rightarrow (i,j|L) \in L, (i,k|*) \notin \mathcal{I}$ .

## Boundary semigraphoids are not necessarily graphoids

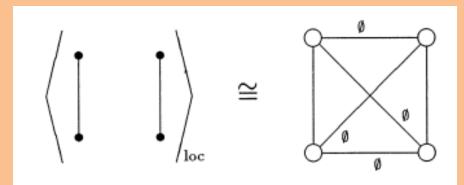
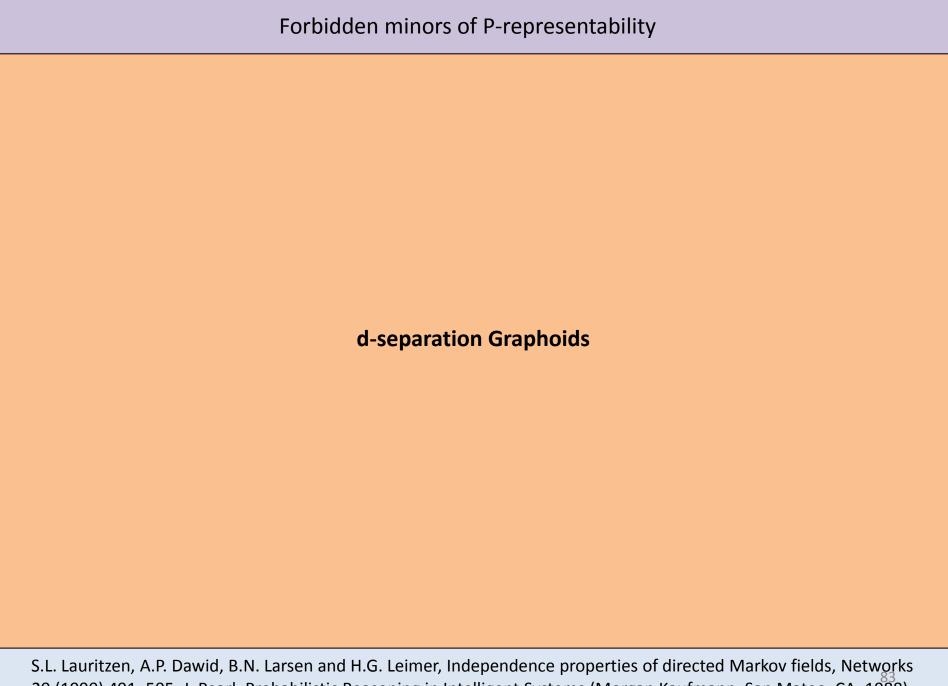


Figure 7. A boundary semigraphoid that is not a graphoid.

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20 (1990) 491–505. J. Pearl, Probabilistic Reasoning in Intelligent Systems (Morgan Kaufmann, San Mateo, CA, 1988).

# d-separation Graphoids

```
\langle G \rangle_d = \{ (i,j|K) \in \mathfrak{K}(N); K \text{ separates } i \text{ and } j \text{ in } mor \ G_{an(ijK)} \}
Here for L \subset N the symbol an(L) denotes the set of all vertices of directed paths in G that end in L, and G_L is the vertex-induced subgraph as before.

(A set L \subset N is ancestral if L = an(L).)
```

#### d-separation Graphoids obtained through moralization

The symbol *mor*, applied to a directed graph, adds to every

its configuration the horizontal undirected edge

and converts all directed edges into the undirected ones. This procedure is termed *moralization*. Every  $\langle G \rangle_d$  is trivially a graphoid and every such graphoid is called a *d-separation graphoid*.

## **Ancestrals sets of d-separation Graphoids**

If i and j are arbitrary 2 different points of a path-with-tails G with the vertex set N, then  $\exists$  exactly 1 vertex-induced subgraph of G that is a path-with-tails with the endpoints i and j and which has tails as long as possible. We denote it by  $G^{ij}$  and its vertex set by  $N^{ij}$ . if i (or j) was chosen in a tail of G the segment of that tail upwards of i (j) should be sloped appropriately and it ceases to be a tail in  $G^{ij}$ . Tails of  $G^{ij}$  are tails of G otherwise. A triple  $(i,j|K) \in \mathcal{K}(N)$  does not belong to  $(G)_d$  iff  $K \cap N^{ij}$  is covered by the tails of  $G^{ij}$  and contains a vertex of each tail of  $G^{ij}$ .

# **Ancestral sets of d-separation Graphoids**

#### Lemma.

If  $L = \langle G \rangle_d$  is a d-separation graphoid and K is an ancestral set of Gthen the restriction of L to Kand the contraction of L by Kare d-separation graphoids.

# d-separation Graphoids are not closed neither under restriction nor under contractions.

The class of d-separation graphoids is neither closed under restrictions nor under Contractions.

If a class of CI-relations is not minor-closed it can be often appealing to complete it by all its minors and look for the forbidden minors of this larger minor-closed class.

Minors can be interpreted as subconfigurations of causal structures, i.e., hidden causal structures